2711



## PATENT APPLICATION

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2711

Examiner: Not Assigned

In re application of

Katsumi NIHEI

Appln. No. 09/699,554

Confirmation No.: Not Assigned

Filed: October 31, 2000

incu. October 31, 2000

For: ADV

ADVERTISEMENT METHOD, ADVERTISEMENT APPARATUS,

ADVERTISEMENT RECEIVER AND RECORDING MEDIUM

261)

W-1

RECEIVED

JAN 0 2 2002

**Technology Center 2600** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. German Patent Application No. 196 38 515, dated April 2, 1998
- 2. World Office Application No. 96 04633, dated February 15, 1996
- 3. European Patent Application No. 0 725 504, dated August 7, 1996

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No. 09/699,554

merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

Foreign Patent Office in a counterpart application citing such documents, together with an

English-language version (if not already included) of that portion of the Communication

indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 24,625

SUGHRUE MION, PLLC

2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: December 28, 2001

2